

Form PTO-1449  
(REV. 8-83)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTORNEY DOCKET NO.:  
245-111

APPLICATION NO.:

Express Mail Mailing Label No. EL173706888US

## INFORMATION DISCLOSURE CITATION

(Form PTO-1449 Modified 5/95)  
(Use several sheets if necessary)APPLICANT:  
Steven A. Bove et al.FILING DATE:  
Herewith 6-9-1995GROUP ART UNIT:  
2164

## U.S. PATENT DOCUMENTS

EX. INIT	DOCU-MENT	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE IF APPROPRIATE
<i>SS</i>		5,126,936	6/1992	Champion et al.			
		5,132,899	7/1992	Fox			
		5,644,727	7/1997	Atkins			
		5,774,881	6/1998	Friend et al.			
		5,799,287	8/1998	Dembo			
		5,812,987	9/1998	Luskin et al.			
		5,819,238	10/1998	Fernholz			
<i>V</i>		5,852,811	12/1998	Atkins			
<i>SS</i>		5,864,828	1/1999	Atkins			

## FOREIGN PATENT DOCUMENTS

EX. INIT	DOCU-MENT	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

<i>SS</i>		Ibbotson Portfolio Strategist™ product description, Ibbotson Associates, printout from website (www.ibbotson.com/Products/software/portfolio_strategist.asp), February 26, 1999 printout date, 2 pages.
<i>SS</i>		"Financial Planning for the Long Term." Interview with R. W. Stevens, Principal, Vanguard Personal Financial Services, printout from website (www.vanguard.com/cgi-bin/NewsPrint/878914651) posted on November 7, 1997, website hosted by Vanguard Marketing Corporation, January 13, 1999 printout date, 4 pages

EXAMINER

*David J. Lee*

DATE CONSIDERED

10-22-2007

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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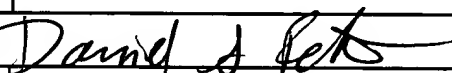
## FOREIGN PATENT DOCUMENTS

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## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	"Portfolio Planning: Integrating Your 401(k) Into Your Investment Portfolio," online publication of <u>In the Vanguard</u> , Summer 1997, printout from website ( <a href="http://www.vanguard.com/educ/newss...TV/1997Summer/Your401ksum1997.html">www.vanguard.com/educ/newss...TV/1997Summer/Your401ksum1997.html</a> ) hosted by Vanguard Marketing Corporation, January 13, 1999 printout date, 2 pages.
	Laderman, J.M. "First, Asset Allocation. Now, "Asset Placement"", Business Week Online: Your Money, , The McGraw-Hill Companies, Inc., August 14, 1997, printout from website ( <a href="http://www.businessweek.com/bwdaily/dnflash/august/nf70814a.htm">www.businessweek.com/bwdaily/dnflash/august/nf70814a.htm</a> ), January 13, 1997 printout date, 2 pages.

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10/22/01

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